



PLEASE REFER TO THE PRODUCT LABEL SECTION ON PAGE I BEFORE FILLING THIS FORM



TATA Common Application Form (except for Tata Retirement Savings Fund & Tata Young Citizens' Fund)

Sr. No.:

I. DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Tata Mutual Fund) refer instruction A16 & K				FOR OFFICE USE ONLY (TIME STAMP)
BROKER / AGENT CODE	SUB-BROKER / BANK BRANCH CODE	SUB-BROKER ARN CODE	EUIN CODE	
ARN-34348			E-025124	

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sole / 1st Unitholder Signature / Thumb Impression	2nd Unitholder Signature / Thumb Impression	3rd Unitholder Signature / Thumb Impression
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Inst. A15)

In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive transaction charges, the same are deductible as applicable from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

2. EXISTING UNITHOLDER INFORMATION (please fill in your Folio Number, Name & proceed to Scheme Investment Details)

Existing Folio Number: Name of Sole/1st Applicant:

3. APPLICANT'S PERSONAL DETAILS (Fill in Block Letters, use one box for one alphabet leaving one box blank between two words, as it appears in your Bank A/c & KYC letter)

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s. **MODE OF HOLDING** Single Joint (Default) Anyone or Survivor(s)

Ist holder PAN/PEKRN KYC Copy attached **Date of Birth**

Proof of DOB (Mandatory for minor) Birth Certificate School Leaving Certificate Passport Other

KYC DETAILS (Mandatory) 1st Unitholder

STATUS: <input type="checkbox"/> Resident Indian <input type="checkbox"/> NRI <input type="checkbox"/> PIO <input type="checkbox"/> HUF <input type="checkbox"/> Minor RI <input type="checkbox"/> Minor NRI <input type="checkbox"/> Trust <input type="checkbox"/> Proprietorship <input type="checkbox"/> LLP <input type="checkbox"/> Partnership <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Pvt. Ltd. Co. <input type="checkbox"/> Non Profit Organisation <input type="checkbox"/> Societies <input type="checkbox"/> FOF <input type="checkbox"/> Body Corporate <input type="checkbox"/> Others (please specify)	OCCUPATION: <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Government Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Professional <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (please specify)	Gross Annual Income: <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore Networth in (Mandatory for Non-individual) ₹ as on <input type="text"/> (not older than 1 year)	For Individuals: <input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to Politically Exposed Person <input type="checkbox"/> Not Applicable For Non-Individual Investors (Companies, Trust, Partnership etc): Is the company a Listed Company or Subsidiary of Listed Company or or Controlled by a Listed Company: (if No, please attach mandatory UBO declaration): <input type="checkbox"/> Yes <input type="checkbox"/> No
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Non Individual investors involved/providing any of the mentioned services Foreign Exchange / Money Changer Services Gaming / Gambling / Lottery / Casino Services
 Money Lending / Pawning None of the above

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Place of birth _____ Nationality _____
 Type of address given at KRA : Residential or Business Residential Business Registered Office

Are you a resident in any country other than India for tax purposes. Yes No
If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number	Identification Type (TIN or other, please specify) ^

*To also include USA, where the individual is citizen / green card holder of the USA
 ^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.
 For Non Individual Investor, Please tick the relevant box below, even if Country of Tax Residency is India #
 Form W8 BEN-E / Specified declaration (Enclosed)
 Unable to Provide [Tata Mutual Fund will contact you in due course to confirm your FATCA Status]
 # Where no box is ticked, the second statement will be taken as the default implying that the applicant / investor currently is unable to confirm FATCA status and will confirm the same in future.

ACKNOWLEDGEMENT SLIP (TO BE FILLED BY THE INVESTOR)

Sr. No.:

Received Subject to realisation and verification an application for purchase of units as mentioned in the application form.

from _____		
Scheme	Cheque no.	Amount

Signature, Stamp & Date

Second applicant details

Mr. Ms.

Name

2nd holder PAN/PEKRN

KYC Copy attached

#**OCCUPATION:** Private Sector Service Public Sector Service Government Sector Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others

#**GROSS ANNUAL INCOME:** Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore;

Networth in ₹ as on (not older than 1 year);

#**OTHERS:** Politically Exposed Person Related to Politically Exposed Person Not Applicable

MANDATORY

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Place of birth _____ Nationality _____

Type of address given at KRA : Residential or Business Residential Business Registered Office

Are you a resident in any country other than India for tax purposes. Yes No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency ⁶	Tax Identification Number	Identification Type (TIN or other, please specify) ^

⁶To also include USA, where the individual is citizen / green card holder of the USA. ^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.

Third applicant details

Mr. Ms.

Name

3rd holder PAN/PEKRN

KYC Copy attached

#**OCCUPATION:** Private Sector Service Public Sector Service Government Sector Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others

#**GROSS ANNUAL INCOME:** Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore;

Networth in ₹ as on (not older than 1 year);

#**OTHERS:** Politically Exposed Person Related to Politically Exposed Person Not Applicable

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Place of birth _____ Nationality _____

Type of address given at KRA : Residential or Business Residential Business Registered Office

Are you a resident in any country other than India for tax purposes. Yes No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency ⁶	Tax Identification Number	Identification Type (TIN or other, please specify) ^

⁶To also include USA, where the individual is citizen / green card holder of the USA. ^ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet been issued, please provide an explanation and attach this to the form.

Guardian details

Name of Guardian (In case of Minor) Contact Person/Designation (In case of non-individual Investors)

Mr. Ms.

Relation with Minor/Designation

Guardian's PAN/PEKRN

KYC Copy attached

Proof of relationship with minor (Mandatory) Mother / Father / Legal Guardian

Birth Certificate

School Leaving Certificate

Passport

Other _____

Guardian / POA / Proprietor

Name	PAN/PEKRN (mandatory)	PAN/PEKRN Proof enclosed	KYC Compliance
		<input type="checkbox"/>	<input type="checkbox"/>

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Place of birth _____ Nationality _____

Type of address given at KRA : Residential or Business Residential Business Registered Office

Are you a resident in any country other than India for tax purposes. Yes No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency ⁶	Tax Identification Number	Identification Type (TIN or other, please specify) ^

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CHECKLIST

Toll Free: 1800-209-0101 (Open on all days). Email: kiran@tataamc.com, Website: www.tatamutualfund.com. Documents as listed below are submitted along with this application.

Document List	Document List	Document List
1. KYC <input type="checkbox"/>	5. Bye-Laws <input type="checkbox"/>	9. Foreign Invest Remittance Certificate (FIRC) <input type="checkbox"/>
2. Resolution / Authorisation to invest <input type="checkbox"/>	6. Partnership Deed <input type="checkbox"/>	10. MICROSIP document
3. Authorised Signatories List with Specimen Signature <input type="checkbox"/>	7. Overseas Auditor's Certificate <input type="checkbox"/>	11. Others _____
4. Trust Deed <input type="checkbox"/>	8. Notarised Power of Attorney <input type="checkbox"/>	

All documents in 3 to 8 above should be originals / true copies certified by the Director's / Trustee / Company Secretary / Authorised Signatory / Notary Public.

4. MAILING ADDRESS AND CONTACT DETAILS OF SOLE / FIRST APPLICANT (P.O. Box Address may not be sufficient. Please provide your complete Address)

								City	
Pin		State				Country			
Phone		O (STD Code)				Extn.		Fax	
		R (STD Code)				Mobile			

E-mail → (IN CAPITAL)

[If you wish to receive Account Statement / Annual Report / Other Statutory Information via Post instead of Email (Refer Inst. – C9)].

Overseas Address (Mandatory in case of NRI applicant in addition to mailing address)

Zip code		City				Country			

5. FIRST HOLDERS BANK ACCOUNT DETAILS (Mandatory) Refer Instruction I and J

All communication/payments will be made to first applicant or to Karta in case of HUF. Bank account details of First Unitholder required without which the application would be rejected.

Name of the Bank									
Branch					Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRNR <input type="checkbox"/> NRE				
Account No. (in Fig.)									
Bank Address									
City			State				PIN		
^MICR Code		*IFSC Code (RTGS)			*IFSC Code (NEFT)				

^ (To be filled in only if dividend is to be paid through ECS). * This is a 11 Digit Number, kindly obtain it from your Bank Branch. (Cancelled cheque is Mandatory)

6. SCHEME DETAILS Refer Instruction D and Page 1 & 2

Scheme / Plan: _____

Options: Growth Dividend **For Dividend option only:** Sub-Option: _____ Payout option: Payout Reinvestment

7. MY INVESTMENT GOAL (choose anyone (✓) (Refer Instruction E)

Marriage Vacation Dream Home Dream Car Retirement Children's Education Children's Marriage.

Target Amount Rs. _____

8. INVESTMENT DETAIL (Strike off whichever is not applicable)

Gross Amount (A)			DD Charges (if any) (B)			Net Amount (Cheque / DD Amount)					
₹	A		B		₹	A minus B					
Mode of Payment						Dated					
A/c No. _____						D D / M M / Y Y Y Y					
A/c Type _____						Cheque / DD No. _____					
Drawn on Bank _____											
Branch _____						Branch City _____					

