

# DSP BLACKROCK MUTUAL FUND

## APPLICATION FORM

Please read Product labeling details available on cover page and instructions before filling this Form

Application No.:

Distributor ARN and Name	Sub Broker Name & ARN	Branch/RM Internal Code	EUIN (Refer note below)	For Office use only
ARN-34348			025124	

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I am a First Time Investor in Mutual Fund Industry.  I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

### 1. FIRST APPLICANT'S DETAILS

Name of First Applicant (Should match with PAN Card)		PAN (1st Applicant / Guardian)	<input type="checkbox"/> KYC
Existing Folio Number	Name of Guardian if minor		PoA PAN <input type="checkbox"/> KYC
On behalf of Minor (* Attach Mandatory Documents as per instructions). Minor's	Date of Birth D D / M M / Y Y Y Y	Date of Birth Proof attached * <input type="checkbox"/>	Guardian named is : <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court Appointed

### 2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records)

Email ID (in capital)		Tel (STD Code)	Address Type (Mandatory) <input type="checkbox"/> a. Residential & Business <input type="checkbox"/> b. Residential <input type="checkbox"/> c. Business <input type="checkbox"/> d. Registered Office
Mobile +91			
Address			
Landmark			
City	Pin Code (Mandatory)	State	

### 3. KYC DETAILS (Mandatory)

**3a. Status of Sole/1st Applicant** (Please tick ✓)  Indian Resident Individual  Minor (Resident)  Minor NRI  NRI (Repatriable)  NRI (Non-Repatriable)  PIO  
 Sole Proprietorship  HUF - Indian  HUF - NRI  Partnership Firm  Limited Partnership (LLP)  Public Ltd. Co.  Private Ltd. Co.  Body Corporate  Bank  
 FIs  Insurance Companies  Government Body  AOP/BOI  Trust  Society  Provident Fund  Superannuation / Pension Fund  Gratuity Fund  Mutual Fund  
 FII  FPI-Category I/II/III  FCRA  GDN  Defence Establishment  NPS Trust  Others \_\_\_\_\_ (Please specify)

Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013:  Yes  No

**3b. Occupation Details** (Please tick ✓)  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**3c. Gross Annual Income** (Please tick ✓)  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore  
**Net-worth in** (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on D D / M M / Y Y Y Y (Not older than 1 year)

**3d. For Individuals / HUFs**  I am Politically Exposed Person  
 I am Related to Politically Exposed Person  
 Not Applicable

**For Non-Individual Investors (Companies, Trust, Partnership etc)**  
 I. Foreign Exchange / Money Changer Services  YES  NO  
 II. Gaming / Gambling / Lottery/Casino Services  YES  NO  
 III. Money Lending / Pawning  YES  NO

### 4. JOINT APPLICANTS (IF ANY) DETAILS

Mode of Holding (Please tick ✓)  Joint (Default)  Anyone or Survivor

<b>2nd Applicant Name</b> (Should match with PAN Card)	PAN (2nd Applicant)	<input type="checkbox"/> KYC
<p><b>a. Occupation Details</b> (Please tick ✓) <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business  <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____ (Please specify)</p> <p><b>b. Gross Annual Income</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> &gt;25 Lacs-1 crore <input type="checkbox"/> &gt;1 crore OR Net worth ₹ _____</p> <p><b>c. Others</b> (Please tick ✓) <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable</p>		
<b>3rd Applicant Name</b> (Should match with PAN Card)	PAN (3rd Applicant)	<input type="checkbox"/> KYC
<p><b>a. Occupation Details</b> (Please tick ✓) <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business  <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____ (Please specify)</p> <p><b>b. Gross Annual Income</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> &gt;25 Lacs-1 crore <input type="checkbox"/> &gt;1 crore OR Net worth ₹ _____</p> <p><b>c. Others</b> (Please tick ✓) <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable</p>		

### ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

### DSP BLACKROCK MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.

From		
Scheme	Cheque no.	Amount
DSPBR		

Application No.

**5. FATCA and CRS DETAILS** For Individuals/HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA/CRS details form

Sole/First Applicant/Guardian			2nd Applicant			<input type="checkbox"/> 3rd Applicant		<input type="checkbox"/> POA	
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	

# Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and its Identification type eg. TIN etc.

Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

**6. BANK ACCOUNT DETAILS** (Avail Multiple Bank Registration Facility)

Bank Name

Bank A/C No.  A/C Type  Savings  Current  NRE  NRO  FCNR  Others

Branch Address

City  Pin

IFSC code: (11 digit)  MICR code (9 digit)  (This is a 9 digit number next to your cheque number)

**7. INVESTMENT AND PAYMENT DETAILS** (Cheque/DD should be in favour of "Scheme Name")

Scheme/Plan /Option/Sub Option **DSP BlackRock -** Scheme Plan Option/Sub Option

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

One time Lumpsum Investment  SIP: Systematic Investment Plan.  Attach OTM form, if not already registered. Mention First SIP Cheque Details below

Payment Mode:  Cheque  DD  RTGS  NEFT  Funds transfer

Cheque/DD/RTGS/NEFT No.

Amount (Rs.) (i)

DD charges, (Rs.)(ii)

Total Amount (Rs.) (i) + (ii)  In figures

In Words

Cheque/DD/RTGS/NEFT/ DD Date

Payment from Bank A/c No.  Pay In A/c No.

Bank Name

Branch

Account Type  Savings  Current  NRE  NRO  FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable:  Bank Certificate, for DD  Third Party Declarations

**8. NOMINATION DETAILS** Individuals (single or joint applicants) are advised to avail Nomination facility.

I/We wish to nominate.  I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			Total = 100%	

**9. UNIT HOLDING OPTION:**

In Account Statement Mode (default):  In Demat mode: NSDL:  I  N

Depository Participant (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

CDSL:

Enclose for demat option:  
 Client Master List  
 Transaction/Holding Statement  
 DIS Copy

**10. DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund from time to time, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

POA holder, if any

Email: [service@dspblackrock.com](mailto:service@dspblackrock.com) Website: [www.dspblackrock.com](http://www.dspblackrock.com) Contact Centre: 1800 200 4499

- Quick Checklist
- Name, Address are correctly mentioned
  - Email ID / Mobile number are mentioned
  - KYC information provided for each applicant
  - FATCA/CRS details provided for each applicant
  - Full scheme name, plan, option is mentioned
  - Pay-In bank details and supportings are attached
  - Nomination facility opted
  - Form is signed by all applicants
  - Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
  - Non Individual investors should attach
    - FATCA Details and Declaration Form
    - UBO Declaration Form